

Below are statements that are often made by brokers or financial advisors when they are describing a potential investment opportunity. After hearing each statement, would the information on a scale of 1-7 make you less likely to want to hear more or (a 1 means that you would NOT be interested in hearing more) more likely to want to hear more (a 7 means you WOULD be interested in hearing more).

Please check the appropriate box for your answer.	Not Interested	Somewhat Interested	Extremely Interested
1. The lowest return you could possibly get on this investment is 50% annually, but most investors are making upwards of 110% a year.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. This investment made hundreds of people extremely wealthy.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. There is no way to lose on this investment, it is fully secured.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. We only have 3 units left, if you don't make a decision today, you won't be able to get in on this investment opportunity.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. I am a registered broker with the NASD (National Association of Securities Dealers).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. This investment product is registered with the SEC (Securities and Exchange Commission) and the state security agency.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. This investment is for a company with excellent management and in a high growth industry.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. This stock has outperformed the Dow Jones Industrial Average each year for the last 5 years.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Invest Wise Washington Quiz

1. What do you think would yield the highest return in a 40-year period:
 - ☐ Stocks
 - ☐ IRAs
 - ☐ Bonds
 - ☐ Bank savings account
2. With compound interest you earn interest on your interest as well as your principal.
 - ☐ True
 - ☐ False
3. When an investor diversifies their investments, do you think the risk of losing money:
 - ☐ Increases
 - ☐ Stays about the same
 - ☐ Decreases
4. Mutual funds pay a guaranteed rate of return.
 - ☐ True
 - ☐ False
5. What statement best describes a no-load mutual fund:
 - ☐ It carries no fees
 - ☐ It is not high risk
 - ☐ It carries no sales charges
 - ☐ It has no time limits regulating buying or selling
6. When interest rates go up, do bond prices typically:
 - ☐ Fall
 - ☐ Go up
 - ☐ Remain the same
7. Which of the following agencies insures consumers against losses in the stock market:
 - ☐ FDIC–Federal Deposit Insurance Corporation
 - ☐ NASD–National Association of Securities Dealers
 - ☐ SEC–U.S. Securities and Exchange Commission
 - ☐ SIPC–Securities Investor Protection Corporation
 - ☐ DFI–Washington State Department of Financial Institutions
 - ☐ All the above
 - ☐ None of the above